

## Automated Commercial Environment (ACE) Post Summary Correction

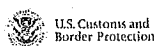


Customs Brokers & Forwarders Association of Northern California  
June 18, 2014

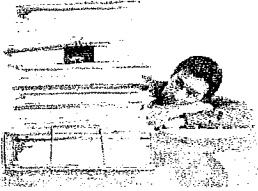
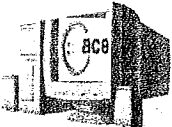


### ACE Post Summary Corrections (PSC) Overview


- The capability to file Post Summary Corrections was delivered on June 4, 2011.
- The Post Summary Correction (PSC) transaction:
  - Fully replaces the existing ACE entry summary as a new version
    - CBP will accept as the importer's assertion that current version is correct
    - Each version receives new number (e.g., 1.00 to 2.00)
  - Replaces existing Post Entry Amendment (PEA) paper process for ACE entries
  - Eliminates the Quarterly PEA requirement for ACE entries
    - Filer sends changes as they are discovered



### PEA versus PSC


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Post Entry Amendment (PEA)	Post Summary Correction (PSC)
<ul style="list-style-type: none"> <li>➤ Trade Submits Paper to CBP</li> <li>➤ CBP manually processes PEA</li> <li>➤ PEA manually reviewed for compliance</li> <li>➤ Hardcopy PEA is filed at port level</li> </ul>	<ul style="list-style-type: none"> <li>➤ Trade Transmits PSC via ABI</li> <li>➤ ACE validates/accepts or rejects PSC</li> <li>➤ PSC automatically goes through ATS for targeting</li> <li>➤ PSC is viewable nationwide at all ports</li> </ul>




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### Who can correct an ACE entry summary?

- An ACE entry summary filer may submit a PSC for an ACE entry summary originally submitted by another filer if authorized by same importer.
- ACE now provides entry summary data to filers of **all** versions of the entry summary.

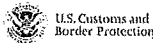


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## PSC Basic Rules

- The ACE entry summary must be in CBP control, have been paid (accounted for as a collection), and scheduled for liquidation.
- The PSC cannot be filed within 20 days or less of the scheduled liquidation date. There is, approximately, a 270-day PSC submission window.
- An informal entry summary is not eligible for a PSC.
- A PSC is not permitted on an entry summary under CBP review.



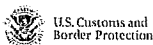
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## PSC Basic Rules

- A PSC is not permitted to change an entry type 03 to another entry type.

**For entries requiring a change under 16.2.6, the filer must submit an ACE Entry Summary Rejection Response Form for CBP to inactivate the ACE entry summary to enable the filer to retransmit the entry summary to ACS using the same entry number. Documents substantiating the request should accompany the Rejection Response Form. If CBP agrees with the request, CBP will inactivate the ACE entry summary, and return a copy of the Rejection Response Form via the broker's box at the port of entry. Filers should then transmit the ACS entry summary within two business days.**

*Business Rules and Process Document (Trade) v3.4, Section 16.2.6*



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## PSC Basic Rules

- The previous entry summary version cannot have been reconciled on a reconciliation entry.
- Changes related to reconciliation, such as flagging or unflagging, are not permitted.
- Not every field is eligible for PSC changes.

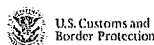


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## PSC Filing Restrictions

Following data elements are not allowed to be modified in a PSC filing:

- District/Port of Entry
- Cargo Release Certification Indicator
- Consolidated Summary Indicator
- Live Entry Indicator
- Trade Agreement Indicator
- Reconciliation Issue Code
- Payment Type Code
- Preliminary Statement Print Date
- Periodic Statement Month
- Statement Client Branch Identifier
- Location of Goods Code
- Consolidated Release Grouping
- DOT/FDA Grouping
- IOR/Consignee Number\*

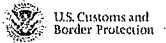


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## Importer of Record (IOR) Change

- Must first request that CBP update the importer of record.
- Importer or Broker must submit CBP Form 3347, within 90 days from date of entry, along with a cover letter requesting change.
- If CBP approves the request, CBP will make changes in ACE and notify the importer/broker that changes have been made.
- Filer may then transmit a PSC to correct summary data.



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## Accelerated Liquidation Request

- Refunds less than \$20

CBP generally does not process refunds under \$20. However, a refund of less than \$20 can be requested by using the accelerated liquidation request indicator.

When the indicator is set to "Y" (Yes), CBP will liquidate the entry using the 2-week liquidation cycle and issue a refund of less than \$20.



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Example: Non-Revenue Change

ESM-10003 AH CEE 004 PSCs Filed Weekly

Report Period: 06/18/2014

R: Revenue - Yes  
 N: Revenue - No  
 Y: Non-Revenue - Yes  
 N: Non-Revenue - No  
 CBP will liquidate "as entered" in 314-day cycle allowing for additional PSC filing.

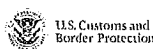
ESM Entry Number	ESM Entry Date	ESM Entry Value	ESM Entry Description	Revenue	Non-Revenue	Accelerated Liquidation	ESM Entry Status	ESM Entry Type	ESM Entry Category	ESM Entry Sub-Category	ESM Entry Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Sub-Sub-Sub-Sub-Category	ESM Entry Sub-Sub-Sub-Sub-Sub-Sub-Sub-Sub-Sub-Category
1	7291246078	4437	36-1235479	Revenue	Y	No	Not Liquidated	No	202014	100-1PSC	Full PSC							
2	12112048278	2704	36-60704221	Revenue	N	No	Not Liquidated	No	7-15-2014	101-1PSC	Full PSC							
3	12112036139	2704	36-60704221	Revenue	N	No	Not Liquidated	No	7-22-2014	101-1PSC	Full PSC							
4	12114105720	4203	20-50724204	Revenue	N	No	Not Liquidated	No	7-15-2014	101-1PSC	Full PSC							
5	12112478631	1621	36-62701234	Revenue	Y	No	Not Liquidated	No	7-15-2014	Open	101-1PSC	Full PSC						
6	12112478631	1621	20-62701234	Revenue	Y	No	Not Liquidated	No	7-22-2014	Open	101-1PSC	Full PSC						
7	121167200123	1733	36-57101234	Revenue	N	No	Not Liquidated	No	7-22-2014	Open	101-1PSC	Full PSC						
8	12117461748	1571	36-47101234	Revenue	Y	No	Not Liquidated	No	7-22-2014	Open	101-1PSC	Full PSC						
9	12117461748	1571	20-47101234	Revenue	Y	No	Not Liquidated	No	7-22-2014	Open	101-1PSC	Full PSC						
10	12117461748	1571	36-47101234	Revenue	N	No	Not Liquidated	No	7-22-2014	Open	101-1PSC	Full PSC						

## Accelerated Liquidation Request

- Bills or Refunds between \$20 and \$500

When a PSC results in a change in duties, taxes or fees between \$20 and \$500, the filer has the option of requesting that CBP not immediately bill or refund.

When the indicator is set to "N" (No), CBP will liquidate "as entered" and delay bill or refund until the end of the PSC submission window (approximately 270 days from entry date).





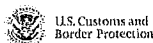




## Entry Summary Query for PSC Data

The original filer may use the ABI ES Query to receive the limited information below:

- Entry Filer Code
- Entry Number
- Version Number
- Accept Date Time (date and time that ACE last accepted the entry summary filing while in trade control)
- PSC Indicator
- PSC Accept Date (PSC accepted by ACE)
- Ownership Data Returned Indicator (Y=owner/ space=not owner)
- Liquidation Status Code
- Liquidation Date



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## PSC WEB BASED TRAINING

**ESAR/Post Summary Corrections (PSC) and Additional Functionality (Drop A2.3.2.a)**  
Lesson 1. ACE Overview and Updates for Trade (30 min)

**Objectives**  
Upon completion of this lesson, ACE Overview and Updates for Trade, you will be able to:

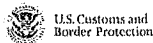
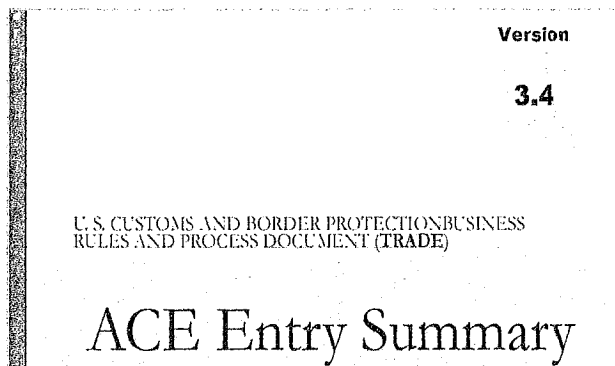
- Identify the new functionality in ACE
- Describe benefits and key terms
- Identify the updates and enhancements this ACE release provides
- Identify impacted ABI changes and messages

Select the Start button to begin → **Start**

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## ACE Business Rules, Version 3.4

For additional PSC guidance refer to CBP's "ACE Entry Summary Business Process," Section 16.0, posted on [www.cbp.gov](http://www.cbp.gov) under ACE Entry Summary Process and Policy



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## ACE Help Resources

CBP Technology Service Desk

For ACE-related questions or password resets, call or email CBP at

1-866-530-4172

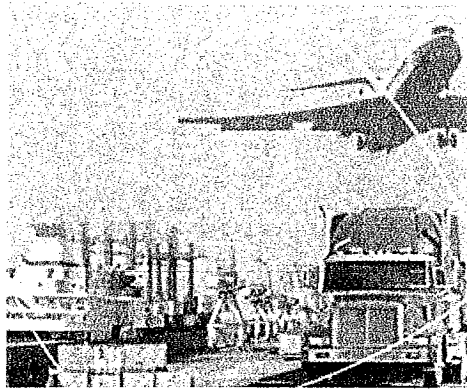
CBP.TECHNOLOGY.SERVICE.DESK@CBP.DHS.GOV

Contact your CBP Client Representative for additional help with ACE.



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# Questions?



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